

STRICTLY CONFIDENTIAL

CLIENT QUESTIONNAIRE

Prior to commencing a formal relationship with you as our Client, we have a legal obligation to request and obtain information and support documentation as specified in this form. We confirm that all such information and support documentation held by us will be retained in the strictest confidence.

Please note that in order to facilitate the establishment of account relationships with financial institutions e.g. Banks and Investment Brokers, we may be required to furnish these financial institutions with this information and/or copies of the aforementioned to support documentation.

By returning this document and the relevant information and support documentation to us, you will be regarded as having provided us with your consent to act at our discretion in this regard.

CLIENT QUESTIONNAIRE

SECTION 1 - PERSONAL INFORMATION

1	Name:		
2	Residential address: (Including post code)		
3	Telephone number:	Home	
		Work	
		Mobile	
4	Facsimile:	Home	
		Work	
5	Email:	Home	
		Work	
6	Work Address: (Including post code)		
7	Date of birth:		
8	Place of birth:		
9	Nationality:		
10	Country of tax residency / domicile		
11	Occupation:		
12	Estimated net worth: (Please provide brief summary of your principal assets)		
13	Through whom were you recommended to Larner Preferred International?		
14	How should we contact you?		

Client initial:

SECTION 2 - BACKGROUND INFORMATION

1	<p>Background:</p> <p>(Please provide a brief summary of your career to date or attach a copy of your curriculum vitae) This should include details relating to your source of wealth/income</p>	
2	<p>Please provide details of the source of funds to be used in relation to the business to be transacted.</p>	
3	<p>Bankruptcy:</p> <p>Have you or any corporation of which you have been an Officer, Director or a ten percent or more shareholder, ever been obliged to come to an agreement with creditors?</p>	<p>(Please tick)</p> <p><input type="checkbox"/> YES <input type="checkbox"/> NO</p> <p>If yes, please provide details</p>
4	<p>Tax, Audit & Tax Returns:</p> <p>Are your local tax returns filed to date?</p>	<p>(Please tick)</p> <p><input type="checkbox"/> YES <input type="checkbox"/> NO</p> <p>If no, please provide details</p>
	<p>Are you the subject of court proceedings, legal action, litigation or investigation by the authorities of any jurisdiction</p>	<p>(Please tick)</p> <p><input type="checkbox"/> YES <input type="checkbox"/> NO</p> <p>If yes, please provide details</p>
5	<p>Liabilities:</p> <p>Do you currently have any direct or indirect (e.g. as guarantor) liability for any loan in excess of £100,000 or currency equivalent</p>	<p>(Please tick)</p> <p><input type="checkbox"/> YES <input type="checkbox"/> NO</p> <p>If yes, please provide full details</p>

Client Initial:

6	Criminal Offences: Have you, or any corporation of which you have been an officer, director or ten percent or more shareholder, ever been convicted of any crime (other than minor traffic violations), indicted or the subject of any investigation by any law enforcement agency, regulatory body or any foreign agency or government?	(Please tick) <input type="checkbox"/> YES <input type="checkbox"/> NO If yes, please provide full details
7	Other Names: Have you ever used, or do you currently use, a name other than that provided in section 1 of this questionnaire?	(Please tick) <input type="checkbox"/> YES <input type="checkbox"/> NO If yes, please provide full details
8	Financial/Tax/Legal Advice: Have you taken such advice concerning this present matter? (We may request sight of documentary evidence of such advice)	(Please tick) <input type="checkbox"/> YES <input type="checkbox"/> NO If yes, please provide full details
9	Have you ever used tax planning structures in the past? If yes please provide full details	(Please tick) <input type="checkbox"/> YES <input type="checkbox"/> NO If yes, please provide full details
DECLARATION I CONFIRM THAT THE DETAILS GIVEN IN THIS QUESTIONNAIRE ARE, TO THE BEST OF MY KNOWLEDGE, ACCURATE. SIGNED: _____ DATE: _____		

SECTION 3 - SUPPORT DOCUMENTATION REQUIRED

In addition to completing this Client Questionnaire, we are required to request and obtain information and support documentation before we can commence a formal relationship with you as our Client. Larner Preferred International will hold all such information and documentation in the strictest of confidence.

Please provide us with the following documentation: -

1. A certified/notarised clear copy of your passport
2. An original or certified copy of a utility, rates or local authority bill, or bank statement of recent date (i.e. within last three months), showing your name and residential address;
3. A reference from a respected professional who knows you. This should include confirmation of your full name, home address and the length of time that you have been known to the referee – see specimen attached;

N.B. Certification of copy documents may be avoided if the copy is taken by a Larner Preferred consultant other wise then please see attached Certification Guide We will accept certification from a suitable person, such as:

- A Lawyer or Accountant;
- A Director or Manager of a Bank;
- A Notary Public, a member of the Judiciary, a senior Civil Servant, a British Embassy official or a serving Police Officer.